

## Rotherham Schools' Forum

**Venue:** Rockingham Professional Development Centre

**Date:** Friday, 9 December 2016

**Time:** 8.30 a.m.

### A G E N D A

1. Apologies for Absence.
2. Minutes of the previous meeting held on 14th October 2016 (Pages 1 - 7)
3. Matters Arising from Previous Minutes
4. High Needs - update  
*Presentation to be made at the meeting*
5. Early Years - Additional Budget (Page 8)
6. Education Services Grant - update (Pages 9 - 14)
7. 2017/2018 De-delegated Services - guidance (Page 15)
8. 2017/2018 Schools Block Services (Page 16)
9. Date of the next meeting

Future meetings of the Rotherham Schools' Forum shall take place at the Rockingham Professional Development Centre, commencing at 8.30 a.m. on the following dates:-

- Friday 13<sup>th</sup> January, 2017
- Friday 10<sup>th</sup> March, 2017
- Friday 28<sup>th</sup> April, 2017
- Friday 16<sup>th</sup> June, 2017

**ROTHERHAM SCHOOLS' FORUM  
FRIDAY, 14TH OCTOBER, 2016**

**Present:-**

Learning Community representatives:- Mrs. D. Ball (Aston Learning Community); Mrs. G. Hemming (Wales Learning Community); Mr. D. Naisbitt (Oakwood High School); Mr. P. Goward (Rawmarsh Children's Centre School); Mrs. L. Pepper (Clifton Learning Community);

Other stakeholders:- Mr. P. Bloor (Pupil Referral Units); Mrs. J. Mott (Special Schools); Mrs. S. Brook (NASUWT); Mr. D. Ashmore (Teaching Schools); Mr. S. Scott (Day Nurseries - Private, Voluntary, Independent); Mr. A. Richards (Secondary Governors);

Also in attendance:- Mrs. K. Borthwick (RMBC Head of School Effectiveness Service); Mrs. A. Chambers (RMBC Early Years and Childcare Service); Mr. M. Chambers (RMBC Finance); Mrs. V. Njegic (RMBC Finance).

Apologies for absence were received from:- Mr. T. Mahon (St. Bernard's High School); Mr. A. Riches (Brinsworth Academy); I. Treloar (Thrybergh Academy); Mr. J. Morrison and Mr. A. Kitchen (Swinton Academy); Mr. C. Eccles and Mr. I. Holborn (Dinnington Academy); Mrs. P. Dobbin (Redscope Primary School); Mrs. K. Sherburn (Rawmarsh Monkwood Primary School); Mr. D. Silvester (Wath CE Primary School); Mr. M. Young (Eastwood Village School); Mr. G. Gillard (Sheffield Diocese); Mrs. P. Williams (RMBC Inclusion); Mr. P. Bayliss (FE Colleges); Mr. F. Sprague (NUT); Mr. M. Badger (UNISON).

**1. APPOINTMENT OF CHAIR FOR THE 2016/17 SCHOOL YEAR**

Agreed:- That Mr. D. Naisbitt (Head Teacher, Oakwood High School), be appointed Chair of the Rotherham Schools' Forum for the 2016/2017 academic year.

[Mr. D. Naisbitt in the Chair]

**2. APPOINTMENT OF VICE-CHAIR FOR THE 2016/17 SCHOOL YEAR**

Agreed:- That Mrs. D. Ball (Head Teacher, Treeton CE Primary School), be appointed Vice-Chair of the Rotherham Schools' Forum for the 2016/2017 academic year.

**3. LEARNING COMMUNITY AND STAKEHOLDER REPRESENTATIVES CONFIRMATION AND NOMINATION/ELECTION**

Discussion took place on the current membership status of the Rotherham Schools' Forum for the 2016/2017 academic year. It was essential to maintain the balance of academy and non-academy schools being represented on the Forum. As more schools became academies, that balance would have to be reassessed to ensure that representation from both sectors on the

Forum accurately reflected the position in the Rotherham Borough area.

Agreed:- That there shall be continual review of membership to ensure that the balance of academy and non-academy schools represented on the Rotherham Schools' Forum accurately reflects the balance of the sectors in the Rotherham Borough area.

#### **4. MINUTES OF THE PREVIOUS MEETING HELD ON 17 JUNE 2016**

Agreed:- That the minutes of the previous meeting of the Rotherham Schools Forum, held on 17th June, 2016, be approved as a correct record.

#### **5. MATTERS ARISING FROM PREVIOUS MINUTES**

Discussion took place on the following items from the minutes of the previous meeting:-

[1] (Minute 38) – Partnership work with Lincolnshire County Council – the Forum noted that Commissioner Patricia Bradwell had taken over from Malcolm Newsam as the Children's Social Care Commissioner, as part of the Borough Council's improvement process. Commissioner Bradwell, as an elected Councillor, is the Deputy Leader of Lincolnshire County Council. Accordingly, there was now significant partnership work between the Borough Council and Lincolnshire County Council. Progress reports on the partnership work had to be submitted to the Department for Education at intervals of three months.

[2] (Minute 39) – New Primary Schools and Lessons Learned – the Forum noted the progress of the Eastwood Village Primary School, which had begun its second academic year in September 2016. Year 5 pupils were now on roll (ie: the 2015/16 Year 4 cohort moving up) and this Primary School was soon to admit a number of pupils with special educational needs.

Discussion also took place on the proposed new primary schools intended to be constructed within the new developments at Bassingthorpe Farm (near Greasbrough) and at Waverley (near Catcliffe). In association with the Department for Education and the Regional Schools Commissioner, the Borough Council has produced guidance notes and (in November 2016) will invite expressions of interest in respect of the planning and development of the new primary school to be built at Waverley. There was no specific timescale yet available for the Bassingthorpe Farm development.

[3] (Minute 40) – Proposed National Funding Formula – members of the Rotherham Schools Forum should maintain their awareness of the proposed National Funding Formula. However, whilst it is still the intention of the Government to introduce this Formula, the second stage of the Government's consultation process has been delayed until the Autumn, 2016.

[4] (Minute 42) - SEND update – the presentation slides for this item are to be distributed to all members of the Rotherham Schools Forum.

#### **6. TOTAL SCHOOLS BUDGET 2015/16 - OUT-TURN**

The Rotherham Schools Forum considered the Total Schools Budget Out-turn and Monitoring Report for the 2015/16 financial year. The report stated that the out-turn position for the Total Schools Budget was based on actual income and expenditure for the financial year which had ended on 31st March, 2016. This budget out-turn showed an underspend of £24,000 (0.02% under budget) for the year, including the agreed amounts brought forward from the 2014/15 financial year into 2015/16. The report included the reasons for the forecast variance on each of the three Funding Blocks:-

: Schools Block                      : High Needs Block                      : Early Years Block.

Details of the proposed amounts to be carried forward to the 2016/17 Total Schools Budget were also included in the report.

The Schools Forum's discussion of this item highlighted the following issues:-

: the rules for the virement of funds between the three budget Blocks and budget heads of account; it was noted that rules were established by the Department for Education and by the Education Funding Agency;

: the reasons for the budget overspend of the High Needs Block (a further report would be submitted to a future meeting of the Rotherham Schools Forum on this matter);

: Members of the Rotherham Schools Forum were invited to submit individual questions on the Total Schools Budget Out-turn Report (in writing or by electronic mail) to the Finance Officers.

Agreed:- (1) That the Total Schools Budget Out-turn position for the 2015/16 financial year, as contained in the report now submitted, be noted.

(2) That the proposed budget balance amounts to be carried forward to the 2016/17 Total Schools Budget, as now reported, be noted.

## **7. BUDGET MONITORING TO 31ST AUGUST 2016**

The Rotherham Schools Forum considered the Total Schools Budget Monitoring Report for the current 2016/17 financial year. The report had been distributed in advance of this meeting, although slightly late, so as to include budget monitoring information for the period to 30 September 2016 (ie: six months of the financial year). The information within the report affected the three main blocks within the budget and the following variations to the revised budget:-

Schools Block : £39,000 underspend  
High Needs Block : £4,830,000 overspend  
Early Years Block : no variation

It was noted that, at its forthcoming meeting, the High Needs Budget Sub-Group would be considering the issues which have resulted in the significant budget overspend of the High Needs Block (Minute No. 10 below refers).

Agreed:- (1) That the Total Schools Budget Monitoring Report for the current

financial year to 30 September, 2016, as now submitted, be noted.

(2) That, after initial consideration by the High Needs Budget Sub-Group, a further report be submitted to a meeting of the Rotherham Schools Forum, early in 2017, concerning the reasons for the budget overspend of the High Needs Block.

## **8. EARLY YEARS NATIONAL FUNDING FORMULA - CONSULTATION UPDATE**

The Rotherham Schools Forum considered a report and received a presentation from Mrs. A. Chambers (RMBC Early Years and Childcare Service) about the Early Education National Funding Formula for 3 years' and 4 years' old children.

The report stated that all 3 and 4 year olds are entitled to 15 hours per week of early education for 38 weeks per year, from any Ofsted registered early years provider such as private day nurseries, pre-schools and childminders (Private, Voluntary, Independent) and by academy and maintained schools. The funding provided by the Department for Education for this purpose, to Local Authorities, is based on historical spending allocations and there is wide variation in the funding provided to Local Authorities and thus to providers. In addition, from September 2017 working parents will be entitled to a further 15 hours of childcare per week, making a total of 30 hours early education and childcare per week for 38 weeks per year.

The Department for Education is seeking to develop a national funding formula which provides fair and transparent funding to all Local Authorities and has consulted on this proposal during the period from 11 August until 22 September, 2016. The outcome of the consultation process may not be announced until mid-December 2016.

The Schools Forum discussed various issues arising from the report and the presentation:-

: the intended fair, efficient and effective distribution of funding;

: sharing information with primary schools and with early years' education providers;

: base rate funding of core costs; additional needs funding (eg: relating to receipt of disability living allowance); area cost adjustment (eg: general labour market measure);

: the impact of the increasing cost of business rates upon the area cost adjustment;

: the proposed national rate, within this funding formula, as well as the individual local authority rate for Rotherham; the initial rate introduced in April 2017 is likely to remain unchanged for three or four financial years;

: the use of local authority supplements (eg: deprivation; rural or sparse populations); whether other supplements are necessary;

: the Inclusion Fund for children with special educational needs; this matter will be considered by the High Needs Sub-Group;

: the proposed transitional arrangements and the intention for the new funding formula to be introduced in the Rotherham Local Authority, in full, in April 2017; notification to all providers;

: the Early Education Working Group is to develop the hourly rate and supplements for the 2017/18 financial year, using feedback from the consultation;

: the funding is likely to be self-contained and virement to and from other funding blocks will not be permitted; however, this specific issue is not mentioned within the consultation documents.

Agreed:- (1) That the contents of the report and presentation, now submitted, about the proposed Early Education National Funding Formula be noted.

(2) That the outcome of the Department for Education's consultation process on this proposal be reported to the meeting of the Rotherham Schools Forum to be held on 13 January, 2017.

(3) That the presentation slides be distributed to all members of the Rotherham Schools Forum.

## **9. SCHOOLS REVENUE FUNDING 2017/2018 - NATIONAL FUNDING FORMULA/DEDICATED SCHOOLS GRANT ALLOCATION - UPDATE**

Further to Minute No. 33 of the meeting of the Rotherham Schools Forum held on 22nd April, 2016, consideration was given to a report concerning the National Funding Formula/Dedicated Schools Grant allocation.

The report stated that, during July, 2016, the Secretary of State for Education announced that the implementation of the national funding formula had been delayed until 2018/19. Consequently, for the 2017/18 financial year, schools will be funded through their local formula.

Details of the Government's response to the first stage of consultation on Schools and High Needs funding will be published during the Autumn 2016, together with the second stage proposals. The second stage consultation is likely to include details of the Education Services Grant (ESG) Retained rate and General Fund rate, both of which require clarity.

The Dedicated Schools Grant funding arrangements for 2017/18 were summarised within the submitted report.

Reference was made to the Education Services Grant (ESG) funding which, in respect of Local Authority maintained schools, would cease in September 2017. However, it was noted that this ESG funding would continue for academies until the end of the 2019/2020 financial year.

The Schools Forum noted that the Dedicated Schools Grant baseline re-

alignment would result in a small decrease in the annual funding the Local Authority received for each school pupil.

The Schools Forum requested further information about the minimum funding guarantee applied to Special Schools formula funding.

Agreed:- (1) That the contents of the National Funding Formula/Direct Schools Grant allocation, as now submitted, be noted.

(2) That further information be reported to the next meeting of the Rotherham Schools Forum on the minimum funding guarantee.

## **10. HIGH NEEDS - UPDATE**

Arising from discussion earlier in the meeting in respect of the Total Schools Budget Out-turn and Monitoring Report (Minutes Nos. 6 and 7 above refer), the Rotherham Schools Forum agreed that the reasons for the High Needs Block overspend should be referred to the High Needs Budget Sub-Group for detailed initial consideration.

The issues discussed about the High Needs Block budget were:-

: the considerable demands upon resources, which continue to increase and are at times unpredictable, meaning that services often have to be reactive at short notice;

: the length of time required to control expenditure;

: the high cost of out-of-Authority placements;

: the requirement for sufficiency, forward planning to meet the education, health and care needs of each child;

: the need to review the funding allocated for special schools.

The following arrangements were agreed for the Budget Sub-Group:-

: membership of the High Needs Block Budget Sub-Group is – Mr. P. Di'lasio, Mrs. P. Dobbin, Mrs. P. Williams (Inclusion), Mrs. J. Mott, Mr. P. Bloor, together with a representative of Early Years and with Finance Officers also in attendance;

: the Chair of the Budget Sub-Group shall be a school/academy head teacher;

: the Budget Sub-Group will arrange a meeting on a date before the date of the next meeting of the Rotherham Schools Forum;

: the Budget Sub-Group's deliberations shall be reported to a future meeting of the Rotherham Schools Forum.

## **11. STATISTICAL NEIGHBOURS 2015/16 FORMULA VALUES**

The Rotherham Schools Forum discussed the contents of the submitted

spreadsheet containing comparative funding data for both Rotherham MBC and its local authority statistical neighbours, for the 2015/16 financial year.

Discussion took place on the factors which were permissible in terms of funding for pupil places, although those factors were not used in Rotherham's formula. One such factor was funding for this Authority's Looked After Children, for which guidance may be available.

Agreed:- That the comparative funding information for 2015/16, as now submitted, be noted.

## **12. DATE OF NEXT MEETING**

Agreed:- (1) That the next meeting of the Rotherham Schools' Forum take place on Friday 25<sup>th</sup> November, 2016, at the Rockingham Professional Development Centre, commencing at 8.30 a.m.

(2) That future meetings of the Rotherham Schools' Forum shall take place at the Rockingham Professional Development Centre, commencing at 8.30 a.m. on the following dates:-

- Friday 13<sup>th</sup> January, 2017
- Friday 10<sup>th</sup> March, 2017
- Friday 28<sup>th</sup> April, 2017
- Friday 16<sup>th</sup> June, 2017



**Rotherham Schools' Forum Brief – Friday 9<sup>th</sup> December 2016****Approval to increase the budget centrally retained from the Early Years Block in 2016/17**

In April 2016 Schools Forum approved an increase to the central retention bringing it up to £150k to fund staffing within the Early Years and Childcare Service. This covers a proportion of the costs of the staff that manage and support the early education processes.

Central retention of Early Years Block is an allowable expenditure and currently a number of authorities within the Yorkshire and Humber region retain significantly larger amounts of the Early Years Block to fund central functions.

It is proposed to increase the centrally retained proportion up to a maximum of 5% in 2016/17. This will be funded in the first instance from any surpluses within the Early Year Block. In the event that there is no surplus available, it is proposed to accelerate funding from the centrally retained funds available from 2017/18 (7% in 2017/18 and 5% in 2018/19 and future years).

**ROTHERHAM SCHOOLS FORUM – 9 DECEMBER 2016****Background to ESG and ESG duties****2016 to 2017**

ESG was made up of two rates that funded two different groups of services:

- The **retained duties rate** (£15) has gone to local authorities to fund services they provide to all schools, including academies
- The **general duties rate** has gone to both local authorities and academies to fund services authorities provide to maintained schools but which academies must provide themselves

The general duties rate local authorities received were:

General duties rate for mainstream schools	£77.00
General duties rate for special schools	£327.25
General duties rate for PRUs	£288.75

(multipliers used in ESG previously were 3.75 for PRU's and 4.25 for special schools)

The general duties rates academies receive varying amounts depending upon date of conversion and historic guidance.

**2017 to 2018**

The general duties rate is ending and funding previously allocated through the ESG retained duties rate (£15) will be transferred into the schools block.

**General duties rate**

- Local authorities will receive transitional funding for April 2017 to August 2017 at an annual rate of £50 per pupil which equates to £20 per maintained pupil for the five months.
- Regulations will be amended to allow local authorities to retain some of their schools block funding to cover the statutory duties that they covered for maintained schools.
- The amount to be retained will need to be agreed by the relevant maintained school members of forum (primary, secondary, special and PRU)
- Local authorities should set a single rate per 5 to 16 year old pupils which will be deducted from basic entitlement funding for all mainstream maintained schools (both primary and secondary)
- Local authorities may choose to establish different rates for special schools and PRU'S if the cost of fulfilling the duty is substantially different for those schools. The rate will be per place rather than per pupil.
- Academies will see an end to their ESG by 2020.

**Retained duties rate**

- An indicative amount of £646,264 will be transferred into the 2017-2018 schools block
- With the agreement of schools forum, local authorities will be able to fund central services previously funded within the retained duties rate (for all schools)

Education Services Grant

The split of services between the two groups is shown in the table below.

- Local authorities will be able to fund central services previously funded within the retained duties rate (for all schools), with the agreement of schools forum.
- Local authorities will be able to fund services previously funded within the general duties rate (for maintained schools only) from maintained school budgets shares with the agreement of maintained school members of the schools forum.

References are to the schedules in the current schools and early years finance (England) regulations.

Table 1

ESG DUTIES	
Responsibilities local authorities hold for all schools (funding may be retained centrally from all schools with agreement of schools forum)	Responsibilities local authorities hold for maintained schools (funding may be retained centrally from <u>maintained schools only</u> with agreement of schools forum)
<p><b><u>Statutory and Regulatory duties</u></b></p> <p>Director of children’s services and personal staff for director (Sch 1, 20a)</p> <p>Planning for the education service as a whole (Sch 1, 20b)</p> <p>Revenue budget preparation, preparation of information on income and expenditure relating to education, and external audit relating to education (Sch 1, 20d)</p> <p>Administration of grants (Sch 1, 20e)</p> <p>Authorisation and monitoring of expenditure not met from schools’ budget shares (Sch 1, 20fi)</p> <p>Formulation and review of local authority schools funding formula (Sch 1, 20g)</p> <p>Internal audit and other tasks related to the authority’s chief finance officer’s responsibilities under Section 151 of LGA</p>	<p><b><u>Statutory and Regulatory duties</u></b></p> <p>Functions of LA related to best value and provision of advice to governing bodies in procuring goods and services (Sch 1, 20c)</p> <p>Budgeting and accounting functions relating to maintained schools (Sch 1, 20d)</p> <p>Functions relating to the financing of maintained schools (Sch 1, 20e)</p> <p>Authorisation and monitoring of expenditure in respect of schools which do not have delegated budgets, and related financial administration (Sch 1, 20fii)</p> <p>Monitoring of compliance with requirements in relation to the scheme for financing schools and the provision of community facilities by governing bodies (Sch 1, 20h)</p> <p>Internal audit and other tasks related to the authority’s chief finance officer’s responsibilities</p>

ESG DUTIES	
Responsibilities local authorities hold for all schools (funding may be retained centrally from all schools with agreement of schools forum)	Responsibilities local authorities hold for maintained schools (funding may be retained centrally from <u>maintained schools only</u> with agreement of schools forum)
<p>1972 except duties specifically related to maintained schools (Sch 1, 20i)</p> <p>Consultation costs relating to non-staffing issues (Sch 1, 20r)</p> <p>Plans involving collaboration with other LA services or public/voluntary bodies (Sch 1, 20v)</p> <p>Standing Advisory Committees for Religious Education (SACREs) (Sch 1, 24)</p> <p>Provision of information to or at the request of the Crown other than relating specifically to maintained schools (Sch 1, 20w)</p>	<p>under Section 151 of LGA 1972 for maintained schools (Sch 1, 20i)</p> <p>Functions made under Section 44 of the 2002 Act (Consistent Financial Reporting) (Sch 1, 20j)</p> <p>Investigations of employees or potential employees, with or without remuneration to work at or for schools under the direct management of the headteacher or governing body (Sch 1, 20L)</p> <p>Functions related to local government pensions and administration of teachers' pensions in relation to staff working at maintained schools under the direct management of the headteacher or governing body (Sch 1, 20m)</p> <p>Retrospective membership of pension schemes where it would not be appropriate to expect a school to meet the cost (Sch 1, 20n)</p> <p>HR duties, including: advice to schools on the management of staff, pay alterations, conditions of service and composition/organisation of staff (Sch 1, 20o); determination of conditions of service for non-teaching staff (Sch 1, 20p); appointment or dismissal of employee functions (Sch 1, 20q)</p> <p>Consultation costs relating to staffing (Sch 1, 20r)</p> <p>Compliance with duties under Health and Safety at Work Act (Sch 1, 20s)</p> <p>Investigation and resolution of complaints relating to maintained schools (Sch 1, 20t)</p> <p>Provision of information to or at the request of the Crown relating to schools (Sch 1, 20w)</p> <p>School companies (Sch 1, 20x)</p>

ESG DUTIES	
Responsibilities local authorities hold for all schools (funding may be retained centrally from all schools with agreement of schools forum)	Responsibilities local authorities hold for maintained schools (funding may be retained centrally from <u>maintained schools only</u> with agreement of schools forum)
	<p>Functions under the Equality Act 2010 (Sch 1, 20y)</p> <p>Establish and maintaining computer systems, including data storage (Sch 1, 22)</p> <p>Appointment of governors and payment of governor expenses (Sch 1, 26)</p>
<p><b><u>Education Welfare</u></b></p> <p>Functions in relation to the exclusion of pupils from schools, excluding any provision of education to excluded pupils (Sch 1, 10c)</p> <p>School attendance (Sch 1, 11)</p> <p>Responsibilities regarding the employment of children (Sch 1, 29)</p>	<p><b><u>Education Welfare</u></b></p> <p>Inspection of attendance registers (Sch1, 11)</p>
<p><b><u>Asset management</u></b></p> <p>Management of the LA's capital programme including preparation and review of an asset management plan, and negotiation and management of private finance transactions (Sch 1, 10a)</p> <p>General landlord duties for all buildings owned by the local authority, including those leased to academies</p>	<p><b><u>Asset management</u></b></p> <p>General landlord duties for all maintained schools (Sch 1, 10a (section 542(2) Education Act 1996; School Premises Regulations 2012) to ensure that school buildings have:</p> <ul style="list-style-type: none"> <li>• appropriate facilities for pupils and staff (including medical and accommodation)</li> <li>• the ability to sustain appropriate loads</li> <li>• reasonable weather resistance</li> <li>• safe escape routes</li> <li>• appropriate acoustic levels</li> <li>• lighting, heating and ventilation which meets the required standards</li> <li>• adequate water supplies and drainage</li> <li>• playing fields of the appropriate standards</li> </ul>

ESG DUTIES	
Responsibilities local authorities hold for all schools (funding may be retained centrally from all schools with agreement of schools forum)	Responsibilities local authorities hold for maintained schools (funding may be retained centrally from <u>maintained schools only</u> with agreement of schools forum)
	<p>General health and safety duty as an employer for employees and others who may be affected (Health and Safety at Work etc Act 1974).</p> <p>Management of the risk from asbestos in community school buildings (Control of Asbestos Regulations 2012).</p>
<p><b><u>Central support services</u></b></p> <p>No functions</p>	<p><b><u>Central support services</u></b></p> <p>Clothing grants (Sch 1, 10e)</p> <p>Provision of tuition in music, or on other music-related activities (Sch 1, 15)</p> <p>Visual, creative and performing arts (Sch 1, 16)</p> <p>Outdoor education centres (but not centres mainly for the provision of organised games, swimming or athletics) (Sch 1, 17)</p>
<p><b><u>Premature retirement and redundancy</u></b></p> <p>No functions</p>	<p><b><u>Premature retirement and redundancy</u></b></p> <p>Dismissal or premature retirement when costs cannot be charged to maintained schools (Sch 1, 25)</p>
<p><b><u>Monitoring national curriculum assessment</u></b></p> <p>No functions</p>	<p><b><u>Monitoring national curriculum assessment</u></b></p> <p>Monitoring of National Curriculum assessments (Sch 1, 23)</p>
<p><b><u>Therapies</u></b></p> <p>No functions</p>	<p><b><u>Therapies</u></b></p> <p>This will be covered in the high needs section of the regulations</p>
<p><b><u>Additional note</u></b></p>	

## ESG DUTIES

Responsibilities local authorities hold for all schools (funding may be retained centrally from all schools with agreement of schools forum)

Responsibilities local authorities hold for maintained schools (funding may be retained centrally from maintained schools only with agreement of schools forum)

Services set out in the table above will also include overheads relating to these services (regulation 8(11) already refers to this for schedule 2 services) for:

- Ensuring payments are made in respect of taxation, national insurance and superannuation contributions (sch 1, 20e).
- Recruitment, training, continuing professional development, performance management and personnel management of staff (Sch 1, 20k)
- Investigations of employees or potential employees, with or without remuneration (Sch 1, 20l)
- Investigation and resolution of complaints (Sch 1, 20t)
- Legal services related to education functions (Sch 1, 20u)

**Table 1 Former ESG duties may be funded from centrally retained schools block funding with agreement of schools forum**

**ROTHERHAM SCHOOLS FORUM – 9 DECEMBER 2016****2017-2018 De-delegated services – Guidance**

- Funding for de-delegated services must be allocated through the formula but can be passed back, or 'de-delegated', for maintained mainstream primary and secondary schools with schools forum approval. De-delegation is not an option for special schools, nursery schools and PRUs.
- Any decisions made to de-delegate in 2016 to 2017 related to that year only, so new decisions will be required for any service to be de-delegated in 2017 to 2018.
- **From 2017 to 2018**, schools forums can agree to de-delegate further funding for additional school improvement provision for maintained schools. This provision sits alongside the new school improvement grant for statutory local authority intervention functions. This grant will commence from September 2017.

Schools forum members for primary maintained schools and secondary maintained schools must decide separately for each phase whether the service should be provided centrally and the decision will apply to all maintained mainstream schools in that phase. Funding for these services will then be removed from the formula before school budgets are issued. There may be different decisions for each phase. The services which may be de-delegated are:

- additional school improvement services
- contingencies (including **schools in financial difficulties** and deficits of closing schools)
- behaviour support services
- support to underperforming ethnic groups and bilingual learners
- free school meals eligibility
- insurance
- museum and library services
- licences/subscriptions
- staff costs supply cover (for example, long-term sickness, maternity, trade union and public duties)

**2016- 2017**

- Schools in Financial Difficulty Fund (SIFD – Primary maintained only) – de-delegated budget of £75,000. (£5.09 per pupil. NOR = 14,714)



**ROTHERHAM SCHOOLS FORUM – 9 DECEMBER 2016**2017-2018 - For consultation and/or approval

Approval required	Services covered (and funding block)
Schools forum approval is not required (although they should be consulted)	<ul style="list-style-type: none"> <li>• high needs block provision</li> <li>• <b>central licences negotiated by the Secretary of State (237,089 16/17)</b></li> </ul>
Schools forum approval is required on a line-by-line basis	<ul style="list-style-type: none"> <li>• early years block provision</li> <li>• funding to enable all schools to meet the infant class size requirement</li> <li>• back-pay for equal pay claims</li> <li>• remission of boarding fees at maintained schools and academies</li> <li>• places in independent schools for non-SEN pupils services previously funded by the retained rate of the ESG</li> <li>• admissions</li> <li>• <b>servicing of schools forum (£3,000 16/17)</b></li> </ul>
<p>Schools forum approval is required on a line-by-line basis. The budget cannot exceed the value agreed in the previous funding period and no new commitments can be entered into</p> <p>See para 92 for Information on historic commitments. Read <a href="#">establishing local authority DSG baselines</a> for more information</p>	<ul style="list-style-type: none"> <li>• capital expenditure funded from revenue – projects must have been planned and decided on prior to April 2013 so no new projects can be charged</li> <li>• contribution to combined budgets – this is where the schools forum agreed prior to April 2013 a contribution from the schools budget to services which would otherwise be funded from other sources</li> <li>• existing termination of employment costs (costs for specific individuals must have been approved prior to April 2013 so no new redundancy costs can be charged)</li> <li>• prudential borrowing costs – the commitment must have been approved prior to April 2013</li> </ul>
Schools forum approval is required on a line-by-line basis, including approval of the criteria for allocating funds to schools	<ul style="list-style-type: none"> <li>• <b>funding for significant pre-16 pupil growth, including new schools set up to meet basic need, whether maintained or academy (£800,000 16/17)</b></li> <li>• funding for good or outstanding schools with <a href="#">falling rolls</a> where growth in pupil numbers is expected within three years</li> </ul>